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U.S. CURRENCY AUCTION

JUNE 5, 2020 | LONG BEACH



The Pinnacle U.S. Rarities Collection



Front Cover Lots

27017, 27033, 27042, 27055

Inside Front Cover Lots:

27031, 27008, 27027, 27013

Inside Back Cover Lots:

27032, 27039, 27012, 27050

Back Cover Lots:

27005, 27040, 27011, 27041

Heritage Signature® Auction #3580

U.S. Currency

Featuring: The Pinnacle U.S. Rarities Collection



June 5, 2020 | Long Beach

FLOOR Signature® Session

(Floor, Telephone, HERITAGELive!®, Internet, Fax, and Mail)

Long Beach Convention Center • Room 103A
300 E. Ocean Avenue • Long Beach, CA 90802

Friday, June 5 • 5:00 PM PT • Lots 27001–27057

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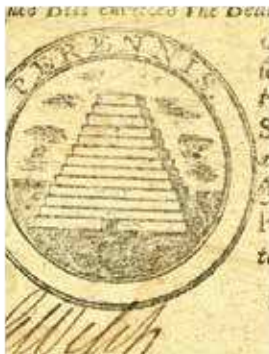
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Order of Auction

The Pinnacle US Rarities Collection

LARGE SIZE TYPE NOTES	start	end
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LARGE SIZE

LEGAL TENDER NOTES

Brilliantly Colored PCGS 66PPQ 1869 Rainbow Ace



27001 Fr. 18 \$1 1869 Legal Tender PCGS Gem New 66PPQ.

PCGS has graded over 500 examples of this Friedberg number with just a single example one point above this magnificent Gem. The colors are brilliant; the centering on both sides is very nearly perfect; and the eye appeal is second to none. On any given day, this note could just have easily been called a 67. It's just that nice. Over the past three years, three other examples at this grade level have crossed the auction block. Each has realized in excess of \$15,000.

From the Pinnacle US Rarities Collection

Starting Bid: \$7,500

Finest Example Reported by Either Service



27002 Fr. 28 \$1 1880 Legal Tender PCGS Superb Gem New 67PPQ.

From the first signature combination used for Series 1880, this design features a large brown Treasury seal that balances the oval portrait of Washington and the corner vignette of Columbus in sight of land. With great margins, registration, bright, original paper surfaces, and vibrant colors, it is not surprising that this note is the finest example reported by either service for this Friedberg number.

From the Pinnacle US Rarities Collection

Starting Bid: \$2,250

Superb 67 EPQ 1880 \$1 Legal Tender



27003 Fr. 35 \$1 1880 Legal Tender PMG Superb Gem Unc 67 EPQ.

While we may not be able to technically apply the term “perfect” to this Legal Tender Ace, this piece comes very close. Broad, even margins, crisp printing, vibrant color, and razor sharp corners are just a few assets of this undeniably Superb Ace that is tied for the finest graded by either PMG or PCGS. PMG has also awarded this pretty note its superlatives: “Exceptional Paper Quality, Embossing & Color.”

From the Pinnacle US Rarities Collection

Starting Bid: \$1,500

Pleasing Near Perfect \$1 Red Seal



27004 Fr. 40 \$1 1923 Legal Tender PCGS Superb Gem New 68PPQ.

This note was the last large size \$1 Legal Tender Note produced, with many of its design features carried over to the new small size notes introduced in 1929. While far from the rarest large size type note, this Legal Tender \$1 is quite rare in the high end of the grade range. This note, which checks all of the boxes for its assigned grade, is nosed out by only a single 69 EPQ example in the *PMG Population Report*.

From the Pinnacle US Rarities Collection

Starting Bid: \$2,500

Glorious Gem 1869 \$2 Rainbow



27005 Fr. 42 \$2 1869 Legal Tender PCGS Gem New 66PPQ.

What a glorious note to have in a premium state of preservation. The 1869 Series \$2 is one of the so-called "Rainbow" notes that have a lovely mix of black, blue, green, and red design elements, shown to their best advantage on top grade examples. This \$2, which features a portrait of Jefferson, a large vignette of the United States Capitol, and an outrageously large, red Treasury seal, also has a lovely green back design. The note has earned its grade with fresh, original paper surfaces, bright colors, and great margins, particularly for this series. While not quite at the top of the *PMG Population Report*, it is tied with several other notes, and only bested by a reported PCGS 67PPQ note and a PCGS 68PPQ note, according to *Track & Price*.

From the Pinnacle US Rarities Collection

Starting Bid: \$12,500

Bright Gem 1875 \$2 Legal Tender



27006 Fr. 44 \$2 1875 Legal Tender PCGS Gem New 65PPQ.

The Series 1875 \$2 Legal Tender Note has a red floral element around Washington, D.C. and the Treasury seal moved to the left. This example has bright, original paper surfaces, dark colors, and very good margins and registration. This example falls just one short of being the finest known, with PMG reporting a single 66EPQ example.

From the Pinnacle US Rarities Collection

Starting Bid: \$4,000

Lovely Gem New 66PPQ Fr. 51



27007 Fr. 51 \$2 1880 Legal Tender PCGS Gem New 66PPQ.

Great paper originality, ideal color, and broad, even margins all come together on this Large Brown Seal Legal Deuce. Always a very popular type, this example is nice enough to please the fussiest buyer. It is tied for the finest graded by PCGS with just one other note. While PMG has graded one other example at the "67" level, that note has not made a public appearance in over ten years. Therefore, this offering is the best opportunity for today's Large Size Type specialists to acquire this Friedberg number in a lofty state of preservation.

From the Pinnacle US Rarities Collection

Starting Bid: \$2,500

Bright and Flashy Fully Superb Gem 1863 \$5 Legal



27008 Fr. 63a \$5 1863 Legal Tender PCGS Superb Gem New 67PPQ.

Beautifully margined, this note exhibits deep original embossing, ideal color, and not a hint of the edge problems that typically plague these early notes. Even the seal, which is often the color-Achilles-heel of this type, is bold red and completely printed. This gorgeous \$5 Legal is tied with just two other pieces at 67PPQ by PCGS, and one at 68PPQ. None are graded above the "66" level graded by PMG. In the past five years, all three notes graded at this Superb 67PPQ level by PCGS have appeared at auction, and have realized between \$18,000 and \$23,500.

From the Pinnacle US Rarities Collection

Starting Bid: \$10,000

Spectacular 1869 \$10 “Jackass”



27009 Fr. 96 \$10 1869 Legal Tender PMG Gem Uncirculated 66 EPQ.

A spectacular Rainbow Jackass note that should please the most particular collector, this vibrantly colored note has it all. Green, red, blue, and black colors come together for a wonderful visual effect. The note has a portrait of Daniel Webster at left and a historical vignette of Pocahontas being presented to the English court at right. The trademark eagle that turns into a Jackass when viewed upside down appears at bottom center. The complex green back reflects the design philosophy of the day, that the more there was to imitate, the more likely a counterfeiter would be to make a mistake. While not quite at the top of the population reports, this note has plenty going for it, and will make a wonderful addition to any collection lucky enough to include it.

From the Pinnacle US Rarities Collection

Starting Bid: \$7,500

Superb Gem 67PPQ Fr. 103 Jackass Note - Tied for Finest Graded



27010 Fr. 103 \$10 1880 Legal Tender PCGS Superb Gem New 67PPQ.

A simply beautiful example, this note is at the very top of the grading scale for this number. PCGS has graded a mere handful of examples at this level, while PMG has yet to grade even a single Fr. 103 with a 67 designation. This piece has everything one would expect to find in any note graded Superb Gem, with brilliant colors, great originality, and well nigh perfect centering. The last Fr. 103 in this grade to cross the auction block was sold in our recent FUN auction for \$9,000.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,500

Lone Finest Graded Fr. 119 Bison



27011 Fr. 119 \$10 1901 Legal Tender PCGS Superb Gem New 68PPQ.

A popular large size type choice, this Bison note offers a little bit of everything: strong historical ties with a vignette of a bison and portraits of Meriwether Lewis and William Clark, symbolism with an allegorical representation of *Columbia* on the back, and certainly visual appeal with darkly inked design elements and wonderfully original paper surfaces. The note is well centered and has well matched margins on both the face and back. This note is the finest graded to date by either grading service according to Track & Price.

From the Pinnacle US Rarities Collection

Starting Bid: \$15,000

Superb 1923 \$10 Red Seal



27012 Fr. 123 \$10 1923 Legal Tender PCGS Superb Gem New 67PPQ.

This is one of the finest Fr. 123 notes that we have ever offered over the years. Almost all of the extremely nice examples of this Friedberg number that have survived are from the final pack of 100. This note is far removed from that last pack and it sports wide margins all the way around, excellent color, paper originality, and bull's-eye face to back registration. In our recent FUN auction, we sold an identically graded example for \$19,200.

From the Pinnacle US Rarities Collection

Starting Bid: \$8,750

Lovely Near Gem 1863 \$20 Legal Tender



27013 Fr. 126b \$20 1863 Legal Tender PCGS Very Choice New 64PPQ.

Issued during the middle of the Civil War, the design of this lovely note reflects the patriotic feelings of the time, with a large figure of *America* supporting a sword and shield being the focal point of the design. The vast majority of the examples of this design often are found in rather humble condition, so the appearance of a note in this high level of preservation presents an opportunity to move any collection up to the next level. The note is printed on bright paper. The original colors are as dark and beautiful as the day this note was printed without any fading or oxidation noted. The note is fully framed and has very good back to face registration. It may be a cliché, but this note is virtually as nice as the day it was printed.

From the Pinnacle US Rarities Collection

Starting Bid: \$7,500

Superb Gem 1880 \$20 Legal Tender



27014 Fr. 138 \$20 1880 Legal Tender PCGS Superb Gem New 67PPQ.

From the long-lived run of \$20 legal tender notes, this variety has both common ties (a profile portrait of the redoubtable Alexander Hamilton and a mildly taunting Victory) and a more unique feature (the large seal). The note itself is by far the finest graded by either service according to Track & Price, with the next finest note being a 64. The combined population reports single this note out as the lone finest reported grade from PMG or PCGS. This note features all of the things expected of a note in this condition, with fresh, original paper surfaces, deeply inked, dark colors, and excellent margins and centering. The perfect addition for those wanting the best available.

From the Pinnacle US Rarities Collection

Starting Bid: \$10,000

Highest Grade Example Recorded by PMG



27015 Fr. 141 \$20 1880 Legal Tender PMG Superb Gem Unc 67 EPQ.

A long lived and popular design, this note features the enduring portrait of Alexander Hamilton and vignette of *Victory*, perhaps a subtle reminder of who had won the Civil War. This note's desirability is enhanced by the courtesy autograph of Daniel N. Morgan, Treasurer of the United States who served from 1893-97. As a bonus, this note is one of three examples that currently sit atop the *PMG Population Report* standings for this Friedberg number, a spot certainly well earned based upon its fresh, original colors and paper surfaces complementing the excellent margins and registration.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,000

Finest Known Example by a Wide Margin



27016 Fr. 146 \$20 1880 Legal Tender PMG Superb Gem Unc 67 EPQ.

A very attractive example from near the end of this long-lived design's issue period, this \$20 features the standard profile portrait of Hamilton and the vignette, titled *Victory* (perhaps intended as a subtle reminder of who won the Civil War). This note has run away from the rest of the field in terms of condition with the next highest reported condition example in the *PMG Population Report* being a 64. An inspection of this example certainly seems to confirm the assigned grade as it has vibrant colors, bright, original paper surfaces, and excellent registration and margins.

From the Pinnacle US Rarities Collection

Starting Bid: \$5,000

Beautiful 1880 Large Brown Seal Legal Tender Note - PCGS 66PPQ



27017 Fr. 161 \$50 1880 Legal Tender PCGS Gem New 66PPQ.

This note is broadly margined and as pretty as a picture. This classic design, with its large brown seal, blue serial numbers, and striking vignette of America, is one of our favorite pieces of currency. Fewer than seventy pieces are known of this number in all grades combined, including those in museum and government hands. This note comes from the run that has supplied most all of the high-grade examples of this beautiful type. It is just as nice as any of the Superb Gems we have handled over the years, and will be a source of pride for one fortunate bidder tonight.

From the Pinnacle US Rarities Collection

Starting Bid: \$13,750

SILVER CERTIFICATES

PMG Top Pop Fr. 221 1886 \$1 Martha



27018 Fr. 221 \$1 1886 Silver Certificate PMG Superb Gem Unc 67 EPQ.

From the last signature combination that appeared on this design, this popular \$1 Silver Certificate depicts Martha Washington whose portrait was engraved by Charles Burt, based on a painting by Charles Francois Jalabert. With clear even margins, excellent registration front-to-back, and vibrant original colors and paper surfaces, this note has certainly earned its grade. In fact, it has currently ended up as the top graded note for this Friedberg number recorded in the PMG Population Report.

From the Pinnacle US Rarities Collection

Starting Bid: \$11,250

Superb 1891 \$1 “Martha” Silver Certificate



27019 Fr. 223 \$1 1891 Silver Certificate PCGS Superb Gem New 67PPQ.

By far the most common of all of the Martha Silver Certificates, including both 1896 and 1891, this note is an ideal example to represent the type. No additional value attaches for the Friedberg number. As the PCGS 67PPQ grade would imply, the note is essentially perfect with ideal centering, vibrant color, and bright, white paper. A perusal of auction records reveals that, over the past several years, notes at this grade level have consistently realized strong, four-figure prices.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,000

Always Popular Superb Gem \$1 Educational



27020 Fr. 224 \$1 1896 Silver Certificate PCGS Superb Gem New 67PPQ.

The \$1 Educational Series note has always been popular with collectors and offers a wealth of historical details in its design. This note is tied for the finest graded by either grading service and offers ample support for that, with excellent centering and front to back registration, as well as bright, original paper surfaces, and deeply inked design elements.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,250

Part of Consecutive Pair - Tied for Finest Known Fr. 229 \$1 Black Eagle



27021 Fr. 229 \$1 1899 Silver Certificate PCGS Superb Gem New 68PPQ.

The Series 1899 Black Eagle \$1 has historically been one of the basic building blocks for any large size type collection and has been very popular with collectors. At present, this note is tied with three other notes for the finest graded by either service according to Track & Price. This example has great originality, dark inking, and excellent margins and registry. The note has legitimately earned its assigned grade and is consecutive to the following lot.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,000

Second Note of Consecutive Pair - Superb Gem New 68PPQ Fr. 229 Black Eagle



27022 Fr. 229 \$1 1899 Silver Certificate PCGS Superb Gem New 68PPQ.

Black Eagles at this superior level simply do not turn up that often. Extra wide margins, outstanding centering, and paper originality earned this gorgeous Black Eagle every grade point it rightfully deserves. It is one of only three examples graded at this level by two major services, with none finer. The second in a consecutive pair offered here this evening.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,000

Original and Well-Centered 1899 \$1 Black Eagle



27023 Fr. 230 \$1 1899 Silver Certificate PCGS Superb Gem New 68PPQ.

While certainly not the rarest U.S. type note by any means, the bright, original paper surfaces, deep colors, excellent face to back registration, and evenly matched margins of this note earn it a place among just four examples graded by either service with this grade, bested by only one finer example according to Track & Price.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,750

Napier-Thompson Black Eagle - Key to The Series



27024 Fr. 231 \$1 1899 Silver Certificate PCGS Gem New 66PPQ.

Stunning embossing is noted on this Napier-Thompson signed note. The overlapping tenure of these two treasury officials was a matter of months from November 22, 1912 to March 31, 1913. The resulting change in signatures created a very small print run. Today, with decades of census data recorded, this is the scarcest Friedberg number for the type.

From the Pinnacle US Rarities Collection

Starting Bid: \$1,500

Tied for Highest Graded Fr. 233 Star



27025 Fr. 233★ \$1 1899 Silver Certificate PCGS Gem New 66PPQ.

Broad margins and plenty of natural paper wave add to the appeal of this enticing Black Eagle Star. This high-end Gem is tied for the finest known at either PCGS or PMG, and is unbeaten at either service.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,000

Top Grade 1886 \$2 Hancock



27026 Fr. 244 \$2 1886 Silver Certificate PCGS Superb Gem New 67PPQ.

The 1886 \$2 Silver Certificate is one of several post Civil War notes to portray Union generals, in this case, Winfield Scott Hancock who served with distinction during the Civil War, including Gettysburg. He was the Democratic candidate for President in 1880. This variety sports a large brown treasury seal and an elaborate green back, that sets off the blue serial numbers and black design elements. This note has every appearance of coming off of the press yesterday as it has bright, original paper surfaces, and deeply inked design elements. Front to back registration is excellent. The note has even, clear margins. All of this is reflected in the fact that this the finest graded example recorded by either grading service according to Track & Price.

From the Pinnacle US Rarities Collection

Starting Bid: \$8,750

Highest Grade Example Recorded by PMG and Serial Number E36



27027 Fr. 245 \$2 1891 Silver Certificate PMG Superb Gem Unc 68 EPQ.

Several notes were issued almost as memorial tributes to government officials who died in office. This is one such note, issued to honor William Windom, Secretary of the Treasury, who had died in office on January 29, 1891. As an interesting sidelight, Windom was the Great-Grandfather of the popular film and television actor, William Windom. This is the highest grade note recorded presently in the *PMG Population Report* for this Friedberg number. This example checks all of the boxes for this lofty grade with great color, fresh, original paper surfaces, and excellent centering and registration. As an extra bonus, it sports a very low serial number E36.

From the Pinnacle US Rarities Collection

Starting Bid: \$10,000

Finest Known Fr. 246 1891 \$2 Windom



27028 Fr. 246 \$2 1891 Silver Certificate PCGS Superb Gem New 68PPQ.

The 1891 \$2 Silver Certificate was issued as a memorial of sorts, honoring William Windom, Secretary of the Treasury, who had died in office on January 29, 1891. As an interesting sidelight, Windom was the Great-Grandfather of the popular film and television actor, William Windom. When this note was designed and issued, the philosophy was to leave a lot of white space in the design so that money handlers could focus on the design elements that were present if they were assessing the note's genuineness. This example is virtually pristine with bright paper and deeply inked design elements. It has also been blessed with excellent margins and registration. It, therefore, comes as no surprise that, according to Track & Price, this note is tied with only one other example for the finest note recorded by either grading service for this Friedberg number.

From the Pinnacle US Rarities Collection

Starting Bid: \$6,250

Pristine 1899 Agricultural Deuce



27029 Fr. 253 \$2 1899 Silver Certificate PCGS Superb Gem New 67PPQ.

Pristine paper surfaces, bold, original embossing, terrific centering of both sides, and ideal colors all come together on this letter-perfect 1899 Deuce. PCGS has graded seven examples in this grade, with just one a single point finer.

From the Pinnacle US Rarities Collection

Starting Bid: \$2,000

Tied for Finest Known Fr. 255 1899 \$2



27030 Fr. 255 \$2 1899 Silver Certificate PMG Superb Gem Unc 67 EPQ.

The 1899 \$2 Silver Certificate reflects the design philosophy of the time, that having lots of white space in the design leads to money handlers focusing on the security and design features that are present. This deeply inked impression, with bright paper and vibrant original colors, has good registration and clear even margins. It clearly has earned its assigned grade, which ties it with six other examples for the top reported grade in the *PMG Population Report*.

From the Pinnacle US Rarities Collection

Starting Bid: \$2,000

Finest Example Graded by Either Service



27031 Fr. 260 \$5 1886 Silver Certificate PMG Gem Uncirculated 66 EPQ.

Best known for featuring four reverses and an obverse of an 1886 Morgan Silver Dollar on the back, this extremely popular note is also a memorial note, featuring on the face, a portrait of President Ulysses S. Grant who passed away in 1885 - ex-President and Civil War hero. The note possesses fresh, original paper surfaces, vibrant colors, and great registration and margins. It should come as no surprise after examining this note that it is the finest graded by either service for this Friedberg number at this time, according to Track & Price.

From the Pinnacle US Rarities Collection

Starting Bid: \$17,500

Gem Large Brown Spiked Seal Silver Dollar Back



27032 Fr. 263 \$5 1886 Silver Certificate PMG Gem Uncirculated 66 EPQ.

Sporting a large brown spiked seal, this variety is in every other way similar to the previous \$5 1886 Silver Certificate. The back design still features five silver dollars, assuring that this design is also actively sought by collectors. This note checks all of the boxes for its grade, with original paper surfaces, great color, and excellent margins and registration. Only two other examples recorded by PMG are finer, both 67 EPQ notes.

From the Pinnacle US Rarities Collection

Starting Bid: \$12,500

Tied for Top Grade at PCGS - Bruce-Roberts 1896 \$5 Ed



27033 Fr. 269 \$5 1896 Silver Certificate PCGS Superb Gem New 67PPQ.

The \$5 Educational Series note certainly needs no introduction, with its detailed panoramic face vignette of *Electricity Presenting Light to the World* and attractive green back design honoring Ulysses S. Grant and Philip Sheridan. Add in excellent registration and margins, great color, and original paper surfaces - what is not to like? Like virtually every other note in this auction, this note is right at the top of the condition charts. In this case, it is tied with three others as the finest graded by either service according to Track & Price.

From the Pinnacle US Rarities Collection

Starting Bid: \$15,000

PCGS 67PPQ Fr. 273 Tied for Top-Pop at Both Services



27034 Fr. 273 \$5 1899 Silver Certificate PCGS Superb Gem New 67PPQ.

Tied at the top of the population reports at PCGS with just two other notes, and a sole example at PMG, this is a simply spectacular piece worth whatever it takes to bring it home. It represents a tougher Friedberg number for the Chief design. A PMG Gem 66 EPQ example realized \$10,800 in our Long Beach auction last year.

From the Pinnacle US Rarities Collection

Starting Bid: \$6,000

Superb Fr. 277 Chief - Finest Graded by PMG



27035 Fr. 277 \$5 1899 Silver Certificate PMG Superb Gem Unc 67 EPQ★.

Well centered, vibrantly colored, and screamingly original, with embossing that virtually breaks through the holder, this note is a perfect Gem in every respect. It is one of only two notes graded at this lofty level by PMG, with none finer. It has also, quite understandably, earned PMG's "★" designation for exceptional eye appeal. Since PMG does not denote which examples earned the "★" designation in the population report, we are comfortably certain this note is higher graded than the other 67 EPQ. No examples at this grade level have crossed the auction block since a PCGS 67PPQ note sold for \$14,100, in 2015.

From the Pinnacle US Rarities Collection

Starting Bid: \$7,500

PMG Gem Uncirculated 67 EPQ Fr. 281 Chief



27036 Fr. 281 \$5 1899 Silver Certificate PMG Superb Gem Unc 67 EPQ.

Broad, even margins, excellent color, strong print quality, perfect centering of both sides, and deep, original embossing are all present on this Chief. Fr. 281's do come rather nice, and this one is right up there with the best of them. According to Track & Price, every example of this Friedberg number at this grade level has achieved the five-figure level at public auction over the past dozen years. This pretty note should prove to be no exception.

From the Pinnacle US Rarities Collection

Starting Bid: \$6,000

Broadly Margined, Fully Fresh PMG Superb Gem Uncirculated 67 EPQ Porthole



27037 Fr. 282 \$5 1923 Silver Certificate PMG Superb Gem Unc 67 EPQ.

A gorgeous Porthole Silver Certificate that is tied with a handful of other examples at the top of the *PMG Population Report*. They have also awarded this lovely Superb Gem Porthole their double positive comment. Along with the EPQ that is always present with the 67 grade, they have also added the optional and seldom used, "Great Embossing," comment. An extremely nice type note that will not disappoint its new owner. In our recent Central States auction, we sold an identically graded example, with the same double comments, for \$18,000.

From the Pinnacle US Rarities Collection

Starting Bid: \$7,500

Well Margined 1891 \$10 Tombstone



27038 Fr. 301 \$10 1891 Silver Certificate PMG Gem Uncirculated 65 EPQ.

Popularly referred to as the Tombstone Note due to the shape of the portrait frame, it is ironic that it is, in fact, a memorial of sorts, paying tribute to Thomas A. Hendricks, who died while serving as Vice President in 1885. The 1891 series design reflects the thinking of the time, that minimizing engraved elements and maximizing white space would help focus attention on elements of the design that could be used for counterfeit diagnostics. This lovely note has vibrant colors, fresh, original paper surfaces, and very good margins and registration. While not quite in the “finest known” category (it is bettered by a 66 EPQ and a 67 EPQ example in the *PMG Population Report*), this note certainly does not have anything to apologize for either. It will make a nice addition to any collection to which it is added.

From the Pinnacle US Rarities Collection

Starting Bid: \$6,000

Lovely Fr. 302 Tombstone \$10 - Tied for the Finest Graded



27039 Fr. 302 \$10 1908 Silver Certificate PMG Gem Uncirculated 66 EPQ.

A great looking Blue Seal Tombstone, this note exhibits broad margins, good paper surfaces, and terrific color. The centering is ideal on this well printed note that is making its first auction appearance in 20 years. It is tied for the finest graded by either service with just other two pieces, neither of which has made a public appearance since we sold the other PMG Gem 66 EPQ graded note for \$29,900 in 2008.

From the Pinnacle US Rarities Collection

Starting Bid: \$10,000

High Grade 1891 \$20 Silver Certificate Rarity



27040 Fr. 320 \$20 1891 Silver Certificate PMG Gem Uncirculated 66 EPQ.

After a decade and a half of PCGS and PMG grading currency, the picture is pretty much as clear as it will get for indication of grade rarity. Series 1891, \$20s are listed with seven different Friedberg numbers, depending on seal types and signature combinations. To date, just two finer examples of the type have been graded by both services combined, with one of those pieces, a PMG 67 EPQ example of this Friedberg number, realizing \$50,400 in our 2019 Central States auction. That price makes this well preserved note, with its perfect inks and ideal color, a relative bargain for the Large Size Type specialist.

From the Pinnacle US Rarities Collection

Starting Bid: \$12,500

Wonderful Fr. 321 \$20 Silver - Tied for Finest Known



27041 Fr. 321 \$20 1891 Silver Certificate PMG Gem Uncirculated 66 EPQ.

A tall face design left little room for ample margins on this design type, and as a result Gems are far less common than other silver certificates from this time period. Neither PMG nor PCGS has graded a finer example of this Friedberg number. On this offering, the margins are exceptional; the colors are beautiful; and the printing of both sides is razor sharp. Even the extremely tight lathe anti-counterfeiting designs on the back have every line distinct. A beautiful note destined for a very high-end type note collection. The last example we offered at this lofty grade level was another PMG Gem 66 EPQ note that realized \$26,400 in our 2019 FUN auction.

From the Pinnacle US Rarities Collection

Starting Bid: \$12,500

Tied for Finest Known 1891 \$50 Silver Certificate



27042 Fr. 334 \$50 1891 Silver Certificate PCGS Superb Gem New 67PPQ.

One other in Superb Gem and this note are the only examples to have been graded Superb Gem at the time of cataloging. Of the five other Friedberg numbers that make up the entirety of the 1891 \$50 Silver Certificate type, there are no comparable survivors. Even regular Gems are limited in number with just two offerings in the last decade. Both Superb Gems have made auction appearances, and both have realized in the \$50,000 to \$70,000 range in previous appearances. With less than 50 surviving examples, the Fr. 334 is a nice rarity in its own right, compounded here by the impeccable grade. This would be an acquisition to lift the overall quality and appeal of even the most advanced collections.

From the Pinnacle US Rarities Collection

Starting Bid: \$25,000

TREASURY NOTES

One of Only Two Fr. 350 Examples at this Grade Level from Both Services Combined, with None Finer



27043 Fr. 350 \$1 1891 Treasury Note PCGS Superb Gem New 68PPQ.

The note is a true beauty. It is easy to understand why the grading service assigned this number. The margins are outsized and perfectly balanced on both sides. The colors are as bright as if the note were printed this week. The paper surfaces are replete with original embossing. The note has all of the attributes one would expect at this exalted grade level. It is tied with just one other piece graded by PCGS at this superlative level with none finer, while PMG has not graded an example of this Friedberg number above the "67" level.

From the Pinnacle US Rarities Collection

Starting Bid: \$4,000

Lovely PCGS Superb Gem New 67PPQ Sheridan \$10



27044 Fr. 369 \$10 1891 Treasury Note PCGS Superb Gem New 67PPQ.

This lovely \$10 Treasury Note made its last public appearance in our 2014 FUN auction where we said: "As one might expect with the grade, this one is simply terrific. The colors of both the ink and paper are bright and fresh, the centering far above average, and the eye-appeal second to none. PCGS has graded this note and one other at the 67PPQ level, with nothing higher. PMG has graded but a single example, again, with nothing higher. The vignette of General Sheridan is amazingly sharp with a life-like appearance. A real beauty that is likely to garner a considerable bit of attention and a price that should easily enter five figures." All of the accolades that we mentioned as well as the population figures that we cited are just as valid today as they were over six years ago. A great note fit for the finest of collections.

From the Pinnacle US Rarities Collection

Starting Bid: \$6,250

FEDERAL RESERVE BANK NOTE

PCGS 67PPQ 1915 Kansas City FRBN - Tied for Top-Pop from Either Service



27045 Fr. 817 \$10 1915 Federal Reserve Bank Note PCGS Superb Gem New 67PPQ.

Tied with a half dozen others at the top of the PCGS census, this 67PPQ Kansas City 1915 FRBN is a real beauty with great color, broad margins, and tremendous eye appeal. The last time this particular note crossed the auction block was in our 2014 Central States auction, where it realized \$11,750. PMG has yet to report a note of this Friedberg number above the "66" level.

From the Pinnacle US Rarities Collection

Starting Bid: \$5,000

FEDERAL RESERVE NOTES

Wonderful Superb Gem Fr. 833a



27046 Fr. 833a \$5 1914 Red Seal Federal Reserve Note PMG Superb Gem Unc 67 EPQ.

A beautiful example with blazing red color and excellent embossing that can be seen right through the PMG holder, this is a rare note in this grade - the sole finest graded by PMG. PCGS has also graded just one note at this level. That example realized over \$8,000 at auction in 2012, with no appearances since that time. A great opportunity for today's collectors of these popular FRN Red Seals.

From the Pinnacle US Rarities Collection

Starting Bid: \$2,000

Sole Finest Graded Fr. 850



27047 Fr. 850 \$5 1914 Federal Reserve Note PMG Superb Gem Unc 67 EPQ.

A relatively common Friedberg number in a decidedly uncommon grade, this wonderful \$5 FRN is the sole finest graded by any service. Featuring ideal centering and quite vivid colors, it would be an ideal candidate for the ultimate type set. No examples above even the "65" level have been offered at public sale in over eight years.

From the Pinnacle US Rarities Collection

Starting Bid: \$1,000

Highest Grade Example Recorded by PMG



27048 Fr. 1011a \$20 1914 Federal Reserve Note PMG Gem Uncirculated 66 EPQ.

This popular San Francisco \$20 sits alone atop the *PMG Population Report* and certainly appears to have merited that lofty perch with great color, centering, and beautiful, original paper surfaces. The \$20 denomination has the distinction of being the first and only United States issue to incorporate an airplane into its design. The back design featured various forms of transportation: land, sea, and air. A close inspection of the sky above the locomotive is rewarded with the discovery of a small aircraft in the distance above the trees.

From the Pinnacle US Rarities Collection

Starting Bid: \$1,500

Highest Grade Example Recorded by PMG and PCGS



27049 Fr. 1035 \$50 1914 Federal Reserve Note PCGS Superb Gem New 67PPQ.

At present, this note is the finest example of this Friedberg number graded by either grading service according to Track & Price. The note's original paper surfaces, excellent centering and registration, and bright, deeply inked colors all affirm the assigned grade's accuracy.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,500

Highest Grade Example Recorded by PMG



27050 Fr. 1072a \$100 1914 Red Seal Federal Reserve Note PMG Gem Uncirculated 66 EPQ.

It is unlikely that many \$100s were saved, so this note's survival in any condition is at least a minor miracle. The example offered has surpassed even that level by coming down to us in the highest grade reported for this Friedberg number in the *PMG Population Report*, sharing the top spot with two other examples at present. With great color, original paper surfaces, and excellent registration and well matched margins, it certainly seems to have earned this spot. Adding interest to this example is the unusual style chosen for the back design, incorporating a classic sculptural interpretation of various allegorical personages including *Mercury*. At one time, this back design style was considered for use on small size currency.

From the Pinnacle US Rarities Collection

Starting Bid: \$17,500

Tied for the Highest Graded Fr. 1088 by Either Service



27051 Fr. 1088 \$100 1914 Federal Reserve Note PCGS Gem New 66PPQ.

A beautifully embossed and unusually bright example with near perfect centering, this note eminently deserves its PCGS Gem New 66PPQ grade. This is one of only two Fr. 1088's graded at this level by PCGS with none finer, while PMG has not graded a single example above the Choice level. It is the first of that finest known pair to cross the auction block in nine years, with the other piece realizing \$6,900 in our 2011 Central States auction.

From the Pinnacle US Rarities Collection

Starting Bid: \$3,500

GOLD CERTIFICATES

Highest Grade Example Recorded by PMG and PCGS



27052 Fr. 1172 \$10 1907 Gold Certificate PCGS Superb Gem New 67PPQ.

The 1907 Gold Certificate is always popular due to its dramatic color palette and portrait of Michael Hillegas, first Treasurer of the United States (1775-1789). This example, which is presently the finest graded by either grading service according to Track & Price, has earned that distinction based on its original paper surfaces, vibrant colors, excellent registration, and clear even margins.

From the Pinnacle US Rarities Collection

Starting Bid: \$4,000

Lovely, Superb 1922 \$20 Mule Gold Certificate



27053 Fr. 1187 \$20 1922 Mule Gold Certificate PCGS Superb Gem New 68PPQ.

Washington is prominently featured on this lovely Mule note with striking use of several colors. The note is well inked and features original paper surfaces as well as excellent face-back registration and clear even margins on both the face and back. This note checks all of the boxes for its assigned grade and is one of four examples of this Friedberg number tied for the finest graded by either grading service according to Track & Price.

From the Pinnacle US Rarities Collection

Starting Bid: \$6,250

High End Gem 1922 Fifty Dollar Gold



27054 Fr. 1200 \$50 1922 Gold Certificate PCGS Gem New 66PPQ.

Through the holder, we cannot discern any detail that would hold this lovely Fifty Dollar Gold note from the full Superb Gem New category. The yellow-gold overprint seems especially vibrant in contrast to the bright, blemish-free paper. An abundance of natural paper wave further adds to the eye appeal. Aside from a PCGS Gem New 67PPQ note that brought almost \$80,000 in our 2016 Central States auction, this is the finest example of this popular Friedberg number that we have been able to offer in several years.

From the Pinnacle US Rarities Collection

Starting Bid: \$11,250

Pristine Fr. 1214 1882 Gold Certificate



27055 Fr. 1214 \$100 1882 Gold Certificate PCGS Gem New 66PPQ.

This note is tied with four others at PCGS for finest known. It is a pristine note with full color and ample margins to carry the grade. Our last offering of another example in this grade came way back in 2016 with a total realization of \$28,200.00. Our estimate may prove conservative. The Benton who appears on this note is Thomas Hart Benton, a Senator from Missouri and an extremely influential politician in his day. He was related to many other 19th century luminaries, including two Civil War Colonels, a Confederate Colonel from Mississippi and a Union Colonel from Iowa. He was the father-in-law of Presidential candidate John Frémont and the great-uncle of Congressman Maecenas Benton who was the father of the famous artist Thomas Hart Benton.

From the Pinnacle US Rarities Collection

Starting Bid: \$13,750

FRACTIONAL CURRENCY

FOURTH ISSUE

Finest Graded at PMG 50¢ Lincoln Fractional



27056 Fr. 1374 50¢ Fourth Issue Lincoln PMG Gem Uncirculated 66 EPQ.

Part of the Fourth Issue of fractional currency issued after the Civil War (1869-1875), this note is always popular because the martyred President Abraham Lincoln is featured on the face along with a huge red Treasury seal. With no higher grade examples currently recorded in the *PMG Population Report*, this pretty note exhibits excellent margins and face to back registration, as well as vibrant colors on bright paper.

From the Pinnacle US Rarities Collection

Starting Bid: \$1,250

SMALL SIZE

FEDERAL RESERVE NOTE

Finest Known 1934A St. Louis Mule \$500



27057 Fr. 2202-H \$500 1934A Federal Reserve Note. PCGS Superb Gem New 67PPQ.

Not many examples for the denomination can lay claim to this grade. A quick check of census figures shows this St. Louis Mule is the sole finest graded by either service for the district. Macro Face Plate 2 is coupled with Micro Back Plate 5. The centering is as top notch as one would expect for a note at this grade level, and inks are sharp. A great note for the collector seeking to assemble the ultimate high-denomination Registry Set.

From the Pinnacle US Rarities Collection

Starting Bid: \$4,000

End of Auction

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Current Bid	Bid Increment	Current Bid	Bid Increment
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\$50 - \$99	\$5	\$50,000 - \$99,999	\$5,000
\$100 - \$199	\$10	\$100,000 - \$199,999	\$10,000
\$200 - \$499	\$20	\$200,000 - \$499,999	\$20,000
\$500 - \$999	\$50	\$500,000 - \$999,999	\$25,000
\$1,000 - \$1,999	\$100	\$1,000,000 - \$1,999,999	\$50,000
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29. In the event Buyer fails to pay any amounts due, Auctioneer reserves the right to sell the lot(s) securing the invoice to any underbidders in the Auction that the lot(s) appeared, or at subsequent private or public sale, or resell the lot(s) in a future auction conducted by Auctioneer. A defaulting Buyer agrees to pay for the reasonable costs of resale (including a 15% seller's commission, if consigned to an auction conducted by Auctioneer). The defaulting Buyer is liable to pay any difference between his total original invoice for the lot(s), plus any applicable interest, and the net proceeds for the lot(s) if sold at private sale or the subsequent hammer price of the lot(s) less the 15% seller's commissions, if sold at an Auctioneer's auction.
30. Title shall not pass to Buyer until all invoices are paid in full. Auctioneer shall have a lien against the merchandise purchased by Buyer to secure payment of any and all outstanding Auction invoices. Auctioneer is further granted a lien and the right to retain possession of any other property of Buyer then held by Auctioneer or its affiliates to secure payment of any Auction invoice or any other amounts due Auctioneer or affiliates from Buyer. With respect to these lien rights, Auctioneer shall have all the rights of a secured creditor under Article 9 of the Texas Uniform Commercial Code, including but not limited to the right of sale (including a 15% seller's commission, if consigned to an auction conducted by Auctioneer). Any Heritage

foreclosure auction venue, whether or not the same venue as when the debt was incurred (e.g. Signature, Internet, or weekly), is deemed a reasonably commercial sale. In addition, with respect to payment of the Auction invoice(s), Buyer waives any and all rights of offset he might otherwise have against Auctioneer and the consignor of the merchandise included on the invoice. If Buyer owes Auctioneer or its affiliates on any account, Auctioneer and its affiliates shall have the right to offset such unpaid account by any credit balance due Buyer, and it may secure by possessory lien any unpaid amount by any of the Buyer's property in their possession.

Delivery, Shipping, and Handling Charges:

31. Buyer is liable for all shipping, handling, registration, and renewal fees, if any. Please refer to Auctioneer's website HA.com/c/shipping.zx for the latest charges or call Auctioneer. Auctioneer is unable to combine purchases from other auctions or affiliates into one package for shipping purposes. Merchandise will be shipped in a commercially reasonable time after payment in good funds for the merchandise and the shipping fees is received or credit extended, except when third-party shipment occurs. Buyer on lots designated for third-party shipment must designate the common carrier, accept risk of loss, and prepay shipping costs. Buyer agrees that Service and Handling charges related to shipping items which are not pre-paid may be charged to the credit card on file with Auctioneer (subject to the surcharge detailed in paragraph 24).
32. Successful international Bidders shall provide written shipping instructions, including specified customs declarations, to Auctioneer for any lots to be delivered outside of the United States. NOTE: Declaration value shall be the item's hammer price together with its buyer's premium and Auctioneer shall use the correct harmonized code for the lot.
33. On all shipments in which Auctioneer charges the Delivery, Handling, and Transit Fee *infra*, any risk of loss during shipment will be borne by Auctioneer until the common carrier's confirmation of delivery to the address of record in Auctioneer's file, this is the "Secure Location". A common carrier's confirmation is conclusive to prove delivery to Buyer; if the client has a Signature release on file with the carrier, the package is considered delivered without Signature. Auctioneer shall arrange, select, and engage common carriers and other transportation vendors on your behalf. Transit services are subject to the following terms and conditions:
 - a. **Scope of Transit Services:** Merchandise for transit will be insured under one or more insurance policies issued by an authorized broker to Auctioneer. The merchandise will be insured for the invoice price of the properties (hammer price plus Buyer's Premium) ("Insured Value"). For each shipment, Buyer will provide a Secure Location to which the items will be delivered. NOTICE: **Auctioneer is neither an insurance company nor a common carrier of any type.**
 - b. **Auctioneer's Compensation for Transit Services:** Auctioneer will provide transit services to Buyer for ¼ of 1% of the Insured Value, plus packaging and handling fees and fees for the common carrier (collectively, "Delivery, Handling, and Transit Fee"). Buyer agrees to pay Delivery, Handling, and Transit Fee and comply with all terms of payment as set forth herein.
 - c. **Auctioneer's Limitation of Liability for Transit Services:** Buyer understands and agrees that Auctioneer's liability for loss of or damage to the items, if any, ends when the items have been delivered to the Secure Location, and Auctioneer has received evidence of delivery. Any claim that property has sustained loss or damage during transit must be reported to Auctioneer within seventy-two (72) hours of the delivery date. Any recovery for loss of or damage to any merchandise is limited to the lesser of actual cash value of the merchandise or the Insured Value. **Under no circumstances is Auctioneer liable for consequential or punitive damages.**
34. It shall be the responsibility for Buyer to arrange pick-up or shipping in a timely manner (within 10 days). Merchandise will be subject to storage and moving charges, including a \$100 administration fee plus \$10 daily storage for larger items and \$5 daily for smaller items (storage fee per item) after 35 days. In the event the merchandise is not removed within ninety days, the merchandise may be offered for sale to recover any past due storage or moving fees, including a 20% Seller's Commission.
35. A. NOTICE OF CITES COMPLIANCE: The purchase of items made from protected species: Any property made of or incorporating endangered or protected species or wildlife may have import and/or export restrictions established by the Convention of International Trade in Endangered Species of Wild Fauna and Flora (CITES) in various countries and domestically. Plant and animal properties include (but are not limited to) items made of (or including) Brazilian rosewood, ivory, whalebone, turtle shell, coral, crocodile, alligator, lizard, or other wildlife. These items may not be available to ship internationally or, in some cases, domestically. Domestic bans and restrictions exist in these states: 1) California state law prohibits the importation of any product containing Python skin into the State of California, thus no lot containing Python skin will be shipped to or invoiced to a person or company in California. 2) Fossil Ivory is currently banned or restricted in 5 U.S. states: New York, New Jersey, California, Hawaii, and New Mexico. By placing a bid, the bidder acknowledges that he or she is aware of any restriction in their country or place of residence and takes responsibility for: 1) obtaining all information on such restricted items for both export and import; 2) obtaining all such licenses and/or permits. Delay, failure, or incapacity to obtain any such license or permit does not relieve the buyer of timely payment or afford them the capacity to void their purchase or payment. Lots containing potentially regulated wildlife material are noted in the description as a convenience to our clients. Heritage Auctions does not accept liability for errors or failure to mark lots containing protected or regulated species. For further assistance, please contact client services at 1-800-872-6467.
35. B. California State law prohibits the importation of any product containing Python skin into the State of California. No merchandise containing Python skin will be shipped to or invoiced to a person or company in California.
35. C. Auctioneer shall not be liable for any loss caused by or resulting from:
 - a. Seizure or destruction under quarantine or Customs regulation, or confiscation by order of any Government or public authority, or risks of contraband or illegal transportation of trade, or
 - b. Breakage of statuary, marble, glassware, bric-a-brac, porcelains, jewelry, and similar fragile articles.
36. Any request for shipping verification for undelivered packages must be made within 30 days of shipment by Auctioneer.

Cataloging, Warranties, and Disclaimers:

37. NO WARRANTY, WHETHER EXPRESSED OR IMPLIED, IS MADE WITH RESPECT TO ANY DESCRIPTION CONTAINED IN THIS AUCTION OR ANY SECOND OPINE. Any description of merchandise or second opine contained in this Auction is for the sole purpose of identifying merchandise for those Bidders who do not have the opportunity to view merchandise prior to bidding, and no description of merchandise has been made part of the basis of the bargain or has created any express warranty that merchandise would conform to any description made by Auctioneer. Color variations can be expected in any electronic or printed imaging, and are not grounds for the return of any lot. NOTE: Auctioneer, in specified auction venues, e.g. Fine Art, may have express written warranties and Bidder is referred to those specific terms and conditions.
38. Auctioneer is selling only such right or title to merchandise being sold as Auctioneer may have by virtue of consignment agreements on the date of auction and disclaims any warranty of title to the merchandise. Auctioneer disclaims any warranty of merchantability or fitness for any particular purposes. All images, descriptions, sales data, and archival records are the exclusive property of Auctioneer, and may be used by Auctioneer for advertising, promotion, archival records, and any other uses deemed appropriate.
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40. Auctioneer disclaims all liability for damages, consequential or otherwise, arising out of or in connection with the sale of any merchandise by Auctioneer to Bidder. No third party may rely on any benefit of these Terms and Conditions and any rights, if any, established hereunder are personal to Bidder and may not be assigned. Any statement made by the Auctioneer is an opinion and does not constitute a warranty or representation. No employee of Auctioneer may alter these Terms and Conditions, and, unless signed by a principal of Auctioneer, any such alteration is null and void.
41. Auctioneer shall not be liable for breakage of glass or damage to frames (patent or latent); such defects, in any event, shall not be a basis for return or reduction in purchase price.

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42. In consideration of participation in Auction and the placing of a bid, Bidder expressly releases Auctioneer, its officers, directors and employees, its affiliates, and its outside experts that provide second opinions, from any and all claims, cause of action, chose of action, whether at law or equity or any arbitration or mediation rights existing under the rules of any professional society or affiliation based upon the assigned description, or a derivative theory, breach of warranty express or implied, representation or other matter set forth within these Terms and Conditions of Auction or otherwise. In the event of a claim, Bidder agrees that such rights and privileges conferred therein are strictly construed as specifically declared herein, and are the exclusive remedy. Bidder, by non-compliance to these express terms of a granted remedy, shall waive any claim against Auctioneer.
43. Notice: Some merchandise sold by Auctioneer is inherently dangerous e.g. firearms, cannons, and small items that may be swallowed or ingested or may have latent defects all of which may cause harm to a person. Buyer accepts all risk of loss or damage from its purchase of these items and Auctioneer disclaims any liability whether under contract or tort for damages and losses, direct or consequential, and expressly disclaims any warranty as to safety or usage of any lot sold.

Dispute Resolution, Arbitration, and Remedies:

- By placing a bid or otherwise participating in Auction, Bidder accepts these Terms and Conditions of Auction, and specifically agrees to the dispute resolution provided herein.
44. **Exclusive Dispute Resolution Process:** All claims, disputes, or controversies in connection with, relating to, and/or arising out of Bidder's participation in Auction or purchase of any lot, any interpretation of the Terms and Conditions of Sale or any amendments thereto, any description of any lot or condition report, any damage to any lot, any alleged verbal modification of any term of sale or condition report or description, and/or any purported settlement whether asserted in contract, tort, under Federal or State statute or regulation, or any claim made by Bidder of a lot or Bidder's participation in Auction involving the auction or a specific lot involving a warranty or representation of a consignor or other person or entity including Auctioneer (which claim Bidder consents to be made a party) (collectively, "Claim") shall be exclusively heard by, and the claimant (or respondent) and Auctioneer each consent to the Claim being presented in a confidential binding arbitration before a single arbitrator administered by and conducted under the rules of, the American Arbitration Association. The locale for all such arbitrations shall be Dallas, Texas. The arbitrator's award may be enforced in any court of competent jurisdiction. In the event that any Claim needs to be litigated, including actions to compel arbitration, construe the agreement, actions in aid of arbitration, or otherwise, such litigation shall be exclusively in the Courts of the State of Texas, in Dallas County, Texas, and if necessary the corresponding appellate courts. If a Claim involves a consumer, exclusive subject matter jurisdiction for the Claim is in the State District Courts of Dallas County, Texas and the consumer consents to subject matter and in personam jurisdiction; further CONSUMER EXPRESSLY WAIVES ANY RIGHT TO TRIAL BY JURY. A consumer may elect arbitration as specified above. Any claim involving the purchase or sale of numismatic or related items may be submitted through binding PNG arbitration. A Claim is not subject to class certification.
 45. **Choice of Law:** Agreement and any Claim shall be determined and construed under Texas law.
 46. **Fees and Costs:** The prevailing party (a party that is awarded substantial and material relief on its damage claim based on damages sought versus awarded or the successful defense of a Claim based on damages sought versus awarded) may be awarded reasonable attorneys' fees and costs.
 47. **Remedies:** Any Claim must be brought within two (2) years of the alleged breach, default or misrepresentation or the Claim is waived. After one (1) year has elapsed, Auctioneer's maximum liability shall be limited to any commissions and fees Auctioneer earned on that lot. Auctioneer in no event shall be responsible for consequential damages, incidental damages, compensatory damages, or any other damages arising or claimed to be arising from the auction of any lot. Exemplary or punitive damages are not permitted and are waived. In the event that Auctioneer cannot deliver the lot or subsequently it is established that the lot lacks title, or other transfer or condition issue is claimed, in such cases the sole remedy shall be limited to rescission of sale and refund of the amount paid by Buyer; in no case shall Auctioneer's maximum liability exceed the high bid on that lot, which bid shall be deemed for all purposes the value of the lot. In the event of an attribution error, Auctioneer may at its sole discretion, correct the error on the Internet, or, if discovered at a later date, refund Buyer's purchase price without further obligation. Nothing herein shall be construed to extend the time of return or conditions and restrictions for return.
 48. These Terms & Conditions provide specific remedies for occurrences in the auction and delivery process. Where such remedies are afforded, they shall be interpreted strictly. Bidder agrees that any claim shall utilize such remedies; Bidder making a claim in excess of those remedies provided in these Terms and Conditions agrees that in no case whatsoever shall Auctioneer's maximum liability exceed the high bid on that lot, which bid shall be deemed for all purposes the value of the lot.

Miscellaneous:

49. Agreements between Bidders and consignors to effectuate a non-sale of an item at Auction, inhibit bidding on a consigned item to enter into a private sale agreement for said item, or to utilize Auctioneer's Auction to obtain sales for non-selling consigned items subsequent to Auction, are strictly prohibited. If a subsequent sale of a previously consigned item occurs in violation of this provision, Auctioneer reserves the right to charge Bidder the applicable Buyer's Premium and consignor a Seller's Commission as determined for each auction venue and by the terms of the seller's agreement.
50. Acceptance of these Terms and Conditions qualifies Bidder as a client who has consented to be contacted by Heritage in the future. In conformity with "do-not-call" regulations promulgated by the Federal or State regulatory agencies, participation by Bidder is affirmative consent to being contacted at the phone number shown in his application and this consent shall remain in effect until it is revoked in writing. Heritage may from time to time contact Bidder concerning sale, purchase, and auction opportunities available through Heritage and its affiliates and subsidiaries.
51. **Rules of Construction:** Auctioneer presents properties in a number of collectible fields, and as such, specific venues have promulgated supplemental Terms and Conditions. Nothing herein shall be construed to waive the general Terms and Conditions of Auction by these additional rules and shall be construed to give force and effect to the rules in their entirety.

State Notices:

Notice as to an Auction in California. Auctioneer has in compliance with Title 2.95 of the California Civil Code as amended October 11, 1993 Sec. 1812.600, posted with the California Secretary of State its bonds for it and its employees, and the auction is being conducted in compliance with Sec. 2338 of the Commercial Code and Sec. 535 of the Penal Code.

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Notice as to an Auction in Texas. In compliance with TDLR rule 67.100(c)(1), notice is hereby provided that this auction is covered by a Recovery Fund administered by the Texas Department of Licensing and Regulation, P.O. Box 12157, Austin, Texas 78711 (512) 463-6599. Any complaints may be directed to the same address.

Additional Terms & Conditions: COINS & CURRENCY

COINS and CURRENCY TERM A: Signature® Auctions are not on approval. No certified material may be returned because of possible differences of opinion with respect to the grade offered by any third-party organization, dealer, or service. No guarantee of grade is offered for uncertified Property sold and subsequently submitted to a third-party grading service. There are absolutely no exceptions to this policy. Under extremely limited circumstances, (e.g. gross cataloging error) a purchaser, who did not bid from the floor, may request Auctioneer to evaluate voiding a sale: such request must be made in writing detailing the alleged gross error; submission of the lot to the Auctioneer must be pre-approved by the Auctioneer; and bidder must notify Ron Brackemyre (1-800-872-6467 Ext. 1312) in writing of such request within three (3) days of the non-floor bidder's receipt of the lot. Any lot that is to be evaluated must be in our offices within 30 days after Auction. Grading or method of manufacture do not qualify for this evaluation process nor do such complaints constitute a basis to challenge the authenticity of a lot. AFTER THAT 30-DAY PERIOD, NO LOTS MAY BE RETURNED FOR REASONS OTHER THAN AUTHENTICITY. Lots returned must be housed intact in their original holder. No lots purchased by floor Bidders may be returned (including those Bidders acting as agents for others) except for authenticity. Late remittance for purchases may be considered just cause to revoke all return privileges.

COINS and CURRENCY TERM B: Auctions conducted solely on the Internet THREE (3) DAY RETURN POLICY: Certified Coin and Uncertified and Certified Currency lots paid for within seven days of the Auction closing are sold with a three (3) day return privilege unless otherwise noted in the description as "Sold As Is, No Return Lot". You may return lots under the following conditions: Within three days of receipt of the lot, you must first notify Auctioneer by contacting Client Service by phone (877-HERITAGE (437-4824)) or e-mail (Bid@HA.com), and immediately ship the lot(s) fully insured to the attention of Returns, Heritage, 3500 Maple Avenue, 17th Floor, Dallas TX 75219-3941. Lots must be housed intact in their original holder and condition. You are responsible for the insured, safe delivery of any lots. A non-negotiable return fee of 5% of the purchase price (\$10 per lot minimum) will be deducted from the refund for each returned lot or billed directly. Postage and handling fees are not refunded. After the three-day period (from receipt), no items may be returned for any reason. Late remittance for purchases revokes these Return privileges.

COINS and CURRENCY TERM C: Bidders who have inspected the lots prior to any Auction, or attended the Auction, or bid through an Agent, will not be granted any return privileges, except for reasons of authenticity.

COINS and CURRENCY TERM D: Coins sold referencing a third-party grading service are sold "as is" without any express or implied warranty, except for a guarantee by Auctioneer that they are genuine. Certain warranties may be available from the grading services and the Bidder is referred to them for further details: Numismatic Guaranty Corporation (NGC), P.O. Box 4776, Sarasota, FL 34230, <http://www.ngccoin.com/services/writtenguarantee.asp>; Professional Coin Grading Service (PCGS), PO Box 9458, Newport Beach, CA 92658, <http://www.pcgsguarantee.com>; ANACS, 6555 S. Kenton St. Ste. 303, Englewood, CO 80111; and Independent Coin Grading Co. (ICG), 7901 East Belview Ave., Suite 50, Englewood, CO 80111.

COINS and CURRENCY TERM E: Notes sold referencing a third-party grading service are sold "as is" without any express or implied warranty, except for guarantee by Auctioneer that they are genuine. Grading, condition or other attributes of any lot may have a material effect on its value, and the opinion of others, including third-party grading services such as PCGS Currency and PMG may differ with that of Auctioneer. Auctioneer shall not be bound by any prior or subsequent opinion, determination, or certification by any grading service. Bidder specifically waives any claim to right of return of any item because of the opinion, determination, or certification, or lack thereof, by any grading service. Certain warranties may be available from the grading services and the Bidder is referred to them for further details: Paper Money Guaranty (PMG), PO Box 4711, Sarasota FL 34230; PCGS Currency, PO Box 10470, Peoria, IL 61612-0470; PCGS Gold Shield, PO Box 9458, Newport Beach, CA 92658. Third-party graded notes are not returnable for any reason whatsoever.

COINS and CURRENCY TERM F: Notes graded by PCGS Currency between February 4, 2009 and January 30, 2019 were graded and authenticated by K3B, Inc. under license from Collectors Universe, Inc. K3B, Inc., now operating as Legacy Currency Grading, has expressed in writing that notes graded under the license during this time period will still be covered by the full written guaranty of PCGS Currency. Warranties may be available from Collectors Universe, Inc. for all PCGS Currency notes graded prior to February 4, 2009.

COINS and CURRENCY TERM G: Since we cannot examine encapsulated coins or notes, they are sold "as is" without our grading opinion, and may not be returned for any reason. Auctioneer shall not be liable for any patent or latent defect or controversy pertaining to or arising from any encapsulated collectible. In any such instance, purchaser's remedy, if any, shall be solely against the service certifying the collectible.

COINS and CURRENCY TERM H: Due to changing grading standards over time, differing interpretations, and to possible mishandling of items by subsequent owners, Auctioneer reserves the right to grade items differently than shown on certificates from any grading service that accompany the items. Auctioneer also reserves the right to grade items differently than the grades shown in the prior catalog should such items be reconsigned to any future auction.

COINS and CURRENCY TERM I: Although consensus grading is employed by most grading services, it should be noted as aforesaid that grading is not an exact science. In fact, it is entirely possible that if a lot is broken out of a plastic holder and resubmitted to another grading service or even to the same service, the lot could come back with a different grade assigned.

COINS and CURRENCY TERM J: Certification does not guarantee protection against the normal risks associated with potentially volatile markets. The degree of liquidity for certified coins and collectibles will vary according to general market conditions and the particular lot involved. For some lots there may be no active market at all at certain points in time.

COINS and CURRENCY TERM K: All non-certified coins and currency are guaranteed genuine, but are not guaranteed as to grade, since grading is a matter of opinion, an art and not a science, and therefore the opinion rendered by the Auctioneer or any third party grading service may not agree with the opinion of others (including trained experts), and the same expert may not grade the same item with the same grade at two different times. Auctioneer has graded the noncertified numismatic items, in the Auctioneer's opinion, to their current interpretation of the American Numismatic Association's standards as of the date the catalog was prepared. There is no guarantee or warranty implied or expressed that the grading standards utilized by the Auctioneer will meet the standards of any grading service at any time in the future.

COINS and CURRENCY TERM L: Storage of purchased coins and currency: Purchasers are advised that certain types of plastic may react with a coin's metal or transfer plasticizer to notes and may cause damage. Caution should be used to avoid storage in materials that are not inert.

COINS and CURRENCY TERM M: NOTE: Purchasers of rare coins or currency through Heritage have available the option of arbitration by the Professional Numismatists Guild (PNG); if an election is not made within ten (10) days of an unresolved dispute, Auctioneer may elect either PNG or A.A.A. Arbitration.

COINS and CURRENCY TERM N: For more information regarding Canadian lots attributed to the Charlton reference guides, please contact: Charlton International, PO Box 820, Station Willowdale B, North York, Ontario M2K 2R1 Canada.

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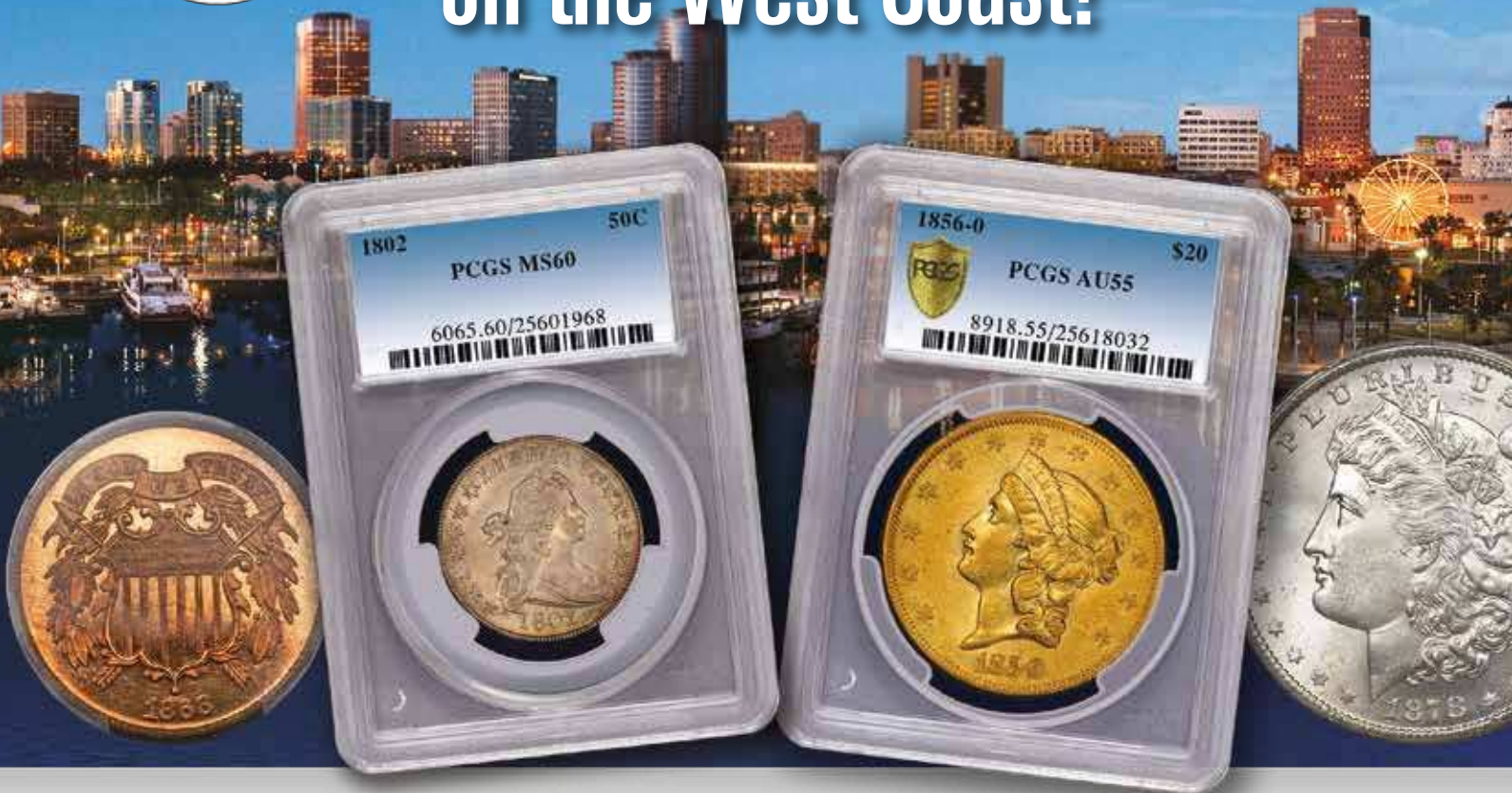
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All lots are subject to the consignor's right to bid thereon and consignor's option to receive advances on their consignments. Auction may offer, in its sole discretion, advances on consignments and extended financing to registered bidders, in accord with Auctioneer's internal credit standards. Auctioneer will disclose to bidders, upon request, a list of lots subject to an advance, reserve, guarantee, or Auctioneer's financial interests of any kind. All Terms and Conditions of Sale are available at HA.com and in the printed catalog, including term #21, which states: The Auctioneer, its affiliates, or their employees consign items to be sold in the Auction, and may bid on those lots or any other lots. Auctioneer or affiliates expressly reserve the right to modify any such bids at any time prior to the hammer based upon data made known to the Auctioneer or its affiliates. The Auctioneer may extend advances, guarantees, or loans to certain consignors. On lots bearing an estimate, the term refers to a value range placed on an item by the Auctioneer in its sole opinion but the final price is determined by the bidders.



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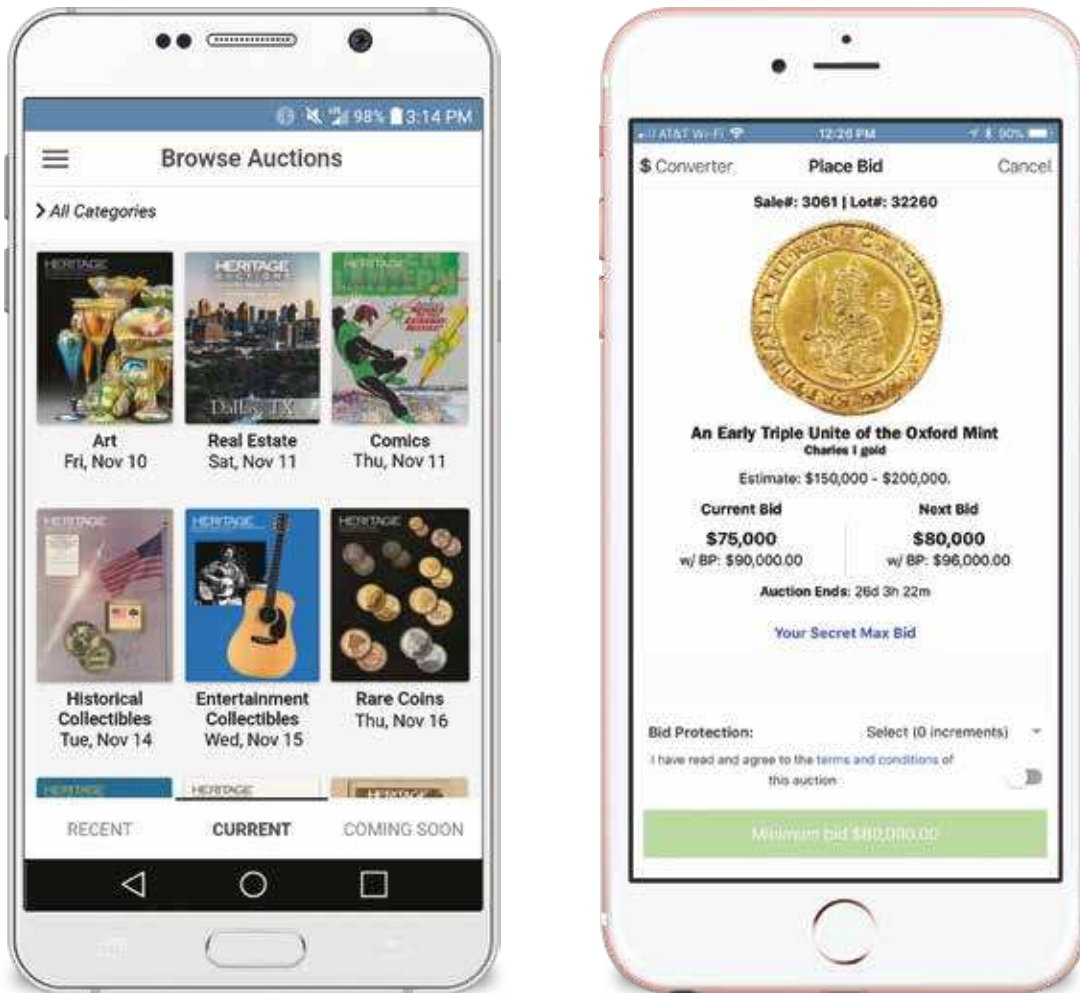
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Pete Howard, Ext. 1756 • PeteH@HA.com

Vintage Guitars & Musical Instruments

HA.com/Guitar
Aaron Piscopo, Ext. 1273 • AaronP@HA.com

Fine & Decorative Arts

Ethnographic Art

HA.com/EthnographicArt
Delia E. Sullivan, Ext. 1343 • DeliaS@HA.com

American & European Art

HA.com/FineArt
Ed Jaster, Ext. 1288 • EdJ@HA.com
Aviva Lehmann, Ext. 1519 • AvivaL@HA.com¹
Ariana Hartsock, Ext. 1283 • ArianaH@HA.com
Alissa Ford, Ext. 1926 • AlissaF@HA.com³
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Janell Snape, Ext. 1245 • JanellS@HA.com³

Asian Art

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Richard Cervantes, Ext. 1927 • RichardC@HA.com¹
Clementine Chen 陳之立, Ext. 1256 • ClementineC@HA.com³
Moyun Niu 牛默耘, Ext. 1864 • MoyunN@HA.com²

Decorative Arts

HA.com/Decorative
Karen Rigdon, Ext. 1723 • KarenR@HA.com
Carolyn Mani, Ext. 1677 • CarolynM@HA.com²

Design

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Brent Lewis, Ext. 1577 • BrentL@HA.com²

Illustration Art

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Ed Jaster, Ext. 1288 • EdJ@HA.com
Todd Hignite, Ext. 1790 • ToddH@HA.com
Meagen McMillan, Ext. 1546 • MeagenM@HA.com

Tiffany, Lalique & Art Glass

HA.com/ArtGlass
Nicholas Dawes, Ext. 1605 • NickD@HA.com¹
Samantha Robinson, Ext. 1784 • SamanthaR@HA.com

Modern & Contemporary Art

(Including Prints & Multiples and Urban Art)

HA.com/Modern

Frank Hettig, Ext. 1157 • FrankH@HA.com
Holly Sherratt, Ext. 1505 • HollyS@HA.com³
Leon Benrimon, Ext. 1799 • LeonB@HA.com¹
Taylor Curry, Ext. 1304 • TaylorC@HA.com¹
Desiree Pakravan, Ext. 1666 • DesireeP@HA.com²

Photographs

HA.com/Photographs
Nigel Russell, Ext. 1231 • NigelR@HA.com¹
Ed Jaster, Ext. 1288 • EdJ@HA.com

Fine Silver & Objects of Vertu

HA.com/Silver
Karen Rigdon, Ext. 1723 • KarenR@HA.com

Texas Art

HA.com/TexasArt
Atlee Phillips, Ext. 1786 • AtleeP@HA.com

Handbags & Luxury Accessories

HA.com/Luxury
Diane D'Amato, Ext. 1901 • DianeD@HA.com¹

Historical

Americana & Political

HA.com/Historical
Tom Slater, Ext. 1441 • TomS@HA.com
Don Ackerman, Ext. 1736 • DonA@HA.com
Curtis Lindner, Ext. 1352 • Curtisl@HA.com

Arms & Armor, Civil War & Militaria

HA.com/Arms
HA.com/CivilWar
David Carde, Ext. 1881 • DavidC@HA.com
Jason Watson, Ext. 1630 • JasonW@HA.com

Historical Manuscripts

HA.com/Manuscripts
Sandra Palomino, Ext. 1107 • SandraP@HA.com¹

Rare Books

HA.com/Books
James Gannon, Ext. 1609 • JamesG@HA.com

Space Exploration

HA.com/Space
Michael Riley, Ext. 1467 • MichaelR@HA.com

Texana

HA.com/Texana
Sandra Palomino, Ext. 1107 • SandraP@HA.com¹

Jewelry

HA.com/Jewelry
Jill Burgum, Ext. 1697 • JillB@HA.com
Jessica DuBroc, Ext. 1978 • JessicaD@HA.com¹
Gina D'Onofrio, Ext. 1153 • GinaD@HA.com²
Ana Wroblaski, Ext. 1154 • AnaW@HA.com²
Tracy Sherman, Ext. 1146 • TracyS@HA.com⁵

Luxury Real Estate

HA.com/LuxuryRealEstate
Nate Schar, Ext. 1457 • NateS@HA.com
Thania Kanewske, Ext. 1320 • ThaniaK@HA.com
Rochelle Mortenson, Ext. 1384 • RochelleM@HA.com

Vintage Posters

HA.com/MoviePosters

Grey Smith, Ext. 1367 • GreySm@HA.com
Bruce Carteron, Ext. 1551 • BruceC@HA.com

Nature & Science

HA.com/NatureAndScience

Craig Kissick, Ext. 1995 • CraigK@HA.com

Numismatics

U.S. Coins

HA.com/Coins

David Mayfield, Ext. 1277 • David@HA.com
Win Callender, Ext. 1415 • WinC@HA.com
Mark Feld, Ext. 1321 • MFeld@HA.com
Jason Friedman, Ext. 1582 • JasonF@HA.com
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Al Pinkall, Ext. 1835 • AlP@HA.com
Cass Christenson, Ext. 1316 • CassC@HA.com
Mark Borckardt, Ext. 1345 • MarkB@HA.com

U.S. Currency & World Paper Money

HA.com/Currency

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Dustin Johnston, Ext. 1302 • Dustin@HA.com
Michael Moczalla, Ext. 1481 • MichaelM@HA.com
Keith Esskuchen, Ext. 1633 • KeithE@HA.com
Craig Eustace, Ext. 1924 • CraigE@HA.com
Marcel Frissen • MarcelF@HA.com
Kenneth Yung • KennethY@HA.com⁴

World & Ancient Coins

HA.com/WorldCoins

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Warren Tucker, Ext. 1287 • WTucker@HA.com
Sam Spiegel, Ext. 1524 • SamS@HA.com
Zach Beasley, Ext. 1741 • ZachB@HA.com
Roxana Uskali • Ext. 1282 • RoxanaU@HA.com⁶
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Huib Pelzer • HuibP@HA.com⁸
Jan Schoten • JanS@HA.com⁸
Idsard Septer • IdsardS@HA.com⁸

Sports Collectibles

HA.com/Sports

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Tony Giese, Ext. 1997 • TonyG@HA.com
Derek Grady, Ext. 1975 • DerekG@HA.com
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Chris Nerat, Ext. 1615 • ChrisN@HA.com
Rob Rosen, Ext. 1767 • RRosen@HA.com
Jonathan Scheier, Ext. 1314 • JonathanS@HA.com
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Timepieces

HA.com/Timepieces

Jim Wolf, Ext. 1659 • JWolf@HA.com
Keith Davis, Ext. 1971 • KeithD@HA.com¹

Wine

HA.com/Wine

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Locations

Dallas (World Headquarters)

214-528-3500 • 877-HERITAGE (437-4824)
3500 Maple Ave.
Dallas, TX 75219

Dallas (Fine & Decorative Arts – Design District Showroom)

214-409-1444
1518 Slocum St.
Dallas, TX 75207

Beverly Hills

310-492-8600
9478 W. Olympic Blvd
Beverly Hills, CA 90212

Chicago

312-260-7200
215 West Ohio
Chicago, IL 60654

New York

212-486-3500
445 Park Avenue
New York, NY 10022

Palm Beach

561-693-1963
250 Royal Palm Way, Suite 306
Palm Beach, FL 33480

San Francisco

877-HERITAGE (437-4824)
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San Francisco, CA 94111

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R. Steven Ivy, CEO & Co-Chairman
James L. Halperin, Co-Chairman
Gregory J. Rohan, President¹
Paul Minshull, Chief Operating Officer
Todd Imhof, Executive Vice President
Kathleen Guzman, Managing Director, New York

¹ Primary office location: New York

² Primary office location: Beverly Hills

³ Primary office location: San Francisco

⁴ Primary office location: Hong Kong

⁵ Primary office location: Palm Beach

⁶ Primary office location: Chicago

⁷ Primary office location: London

⁸ Primary office location: Amsterdam

Upcoming Auctions

NUMISMATICS	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
US Coins	Long Beach	June 4 – 6, 2020	Closed
US Currency: The Pinnacle US Rarities Collection	Long Beach	June 5, 2020	Closed
US Coins (Summer FUN)	Orlando	July 9 – 11, 2020	May 26, 2020
World Paper Money	Hong Kong	July 11 – 13, 2020	May 13, 2020
World Coin	Hong Kong	July 12 – 13, 2020	May 13, 2020
US Currency	Pittsburgh	August 4 – 9, 2020	June 15, 2020
US & World Coins	Pittsburgh	August 4 – 9, 2020	June 19, 2020
FINE & DECORATIVE ARTS	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Fine Furniture & Decorative Arts	Dallas	May 28, 2020	Closed
Ethnographic Art: American Indian, Pre-Columbian, & Tribal	Dallas	May 29, 2020	Closed
European Art	Dallas	May 29, 2020	Closed
Tiffany, Lalique & Art Glass	Dallas	June 4, 2020	Closed
Modern & Contemporary Art	Beverly Hills	June 18, 2020	Closed
Asian Art	New York	June 25, 2020	Closed
Urban Art	Dallas	June 25, 2020	Closed
American Art	Dallas	July 1, 2020	Closed
Nature and Science: Collection of Gemstones	Dallas	September 13 – 14, 2020	Closed
Fine Furniture & Decorative Arts	Dallas	September 15, 2020	July 6, 2020
Asian Art	New York	September 17, 2020	July 8, 2020
Texas Art	Dallas	September 26, 2020	July 24, 2020
Photographs	Dallas	October 6, 2020	August 3, 2020
Design	Dallas	October 6, 2020	July 27, 2020
Illustration Art	Dallas	October 16, 2020	August 13, 2020
Prints and Multiples	Dallas	October 20, 2020	August 18, 2020
American Art	Dallas	November 6, 2020	September 4, 2020
Fine Silver & Objects of Vertu	Dallas	November 15, 2020	September 11, 2020
Modern & Contemporary Art	Beverly Hills	November 19, 2020	September 17, 2020
Lalique & Art Glass	Dallas	November 19 – 20, 2020	September 9, 2020
European Art	Dallas	December 4, 2020	October 2, 2020
Ethnographic Art: American Indian, Pre-Columbian, & Tribal	Dallas	November 20, 2020	September 10, 2020
MEMORABILIA & COLLECTIBLES	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Sports Collectibles	Dallas	May 7 – 9, 2020	Closed
Sports - The Henri Nuber PSA Set Registry	Dallas	June 18, 2020	Closed
Animation Art	Dallas	June 19 – 20, 2020	Closed
Comic & Comic Art	Dallas	July 9, 2020	May 12, 2020
Entertainment & Music Memorabilia	Dallas	July 11, 2020	May 13, 2020
Guitars & Musical Instruments	Dallas	July 12, 2020	May 14, 2020
Sports Cards	Dallas	July 16, 2020	May 26, 2020
The David Hall T206 Collection Part V Sports Card	Dallas	July 23, 2020	Closed
Movie Posters	Dallas	July 25, 2020	June 2, 2020
Sports Summer Platinum® Night	Dallas	August 15 – 16, 2020	June 24, 2020
Comics & Comic Art	Dallas	September 10, 2020	July 21, 2020
Entertainment & Music Memorabilia	Dallas	September 26 – 27, 2020	July 29, 2020
European Comics & Comic Art	Dallas	October 3, 2020	August 6, 2020
HISTORICAL COLLECTIBLES	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Space Exploration	Dallas	June 5, 2020	Closed
Historical Flags, including WWII	Dallas	June 6, 2020	Closed
Arms & Armor and Civil War & Militaria	Dallas	June 7, 2020	Closed
Americana and Political	Dallas	September 14 & 15, 2020	July 24, 2020
Rare Books	Dallas	October 15, 2020	August 24, 2020
Historical Manuscripts	Dallas	October 21, 2020	August 31, 2020
Arms & Armor and Civil War & Militaria	Dallas	December 6, 2020	October 15, 2020
LUXURY LIFESTYLE	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Real Estate	Arlington, VA	May 19, 2020	Closed
Timepieces	New York	June 9, 2020	Closed
Real Estate	Louisiana	June 9, 2020	Closed
Real Estate	California	June 16, 2020	Closed
Wine	Beverly Hills	June 19 – 20, 2020	Closed
Real Estate	Sarasota	July 14, 2020	Closed
Real Estate	Cape Neddick	July 28, 2020	Closed
Wine	Beverly Hills	September 11 – 12, 2020	July 21, 2020
Fine Jewelry	Dallas	September 13 – 14, 2020	July 29, 2020
Luxury Accessories	Dallas	September 13 – 14, 2020	July 9, 2020
Timepieces	New York	Fall 2020	July 24, 2020

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ONLINE AUCTIONS

Comics | 5 PM Sundays

Sports | 10 PM Sundays

Vintage Posters | 10 PM Sundays

Currency (US & World) | 7 PM Tuesdays

Jewelry | 9 PM Tuesdays

U.S. Coins | 7 PM Tuesdays & Wednesdays

Prints & Multiples | 2 PM Wednesdays

Photographs | 3 PM Third Wednesdays

Ancient Coins | 8 PM Wednesday

World Coins | 8 PM Thursdays

Nature & Science | 8 PM Thursdays

Wine | 10 PM First Thursdays

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05/04/2020



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